

## Typically efficient lipreading without motor simulation

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**ABSTRACT**

All it takes is a face to face conversation in a noisy environment to realize that viewing a speaker's lip movements contributes to speech comprehension. What are the processes underlying the perception and interpretation of visual speech? Brain areas that control speech production are also recruited during lip reading. This finding raises the possibility that lipreading may be supported, at least to some extent, by a covert unconscious imitation of the observed speech movements in the observer's own speech motor system – a motor simulation. However, whether, and if so to what extent motor simulation contributes to visual speech interpretation remains unclear. In two experiments, we found that several participants with congenital facial paralysis were as good at lipreading as the control population and performed these tasks in a way that is qualitatively similar to the controls despite severely reduced or even completely absent lip motor representations. Although it remains an open question whether this conclusion generalizes to other experimental conditions and to typically developed participants, these findings considerably narrow the space of hypothesis for a role of motor simulation in lip-reading. Beyond its theoretical significance in the field of speech perception, this finding also calls for a re-examination of the more general hypothesis that motor simulation underlies action perception and interpretation developed in the frameworks of motor simulation and mirror neuron hypotheses.

1    **Introduction**

2    In face to face conversations, the movement, shape and position of a speaker's lips provide  
3    cues about the vowels and consonants that s/he pronounces. Accordingly, "lipreading"  
4    enhances speech perception in adverse auditory conditions (Tye-Murray, Sommers, & Spehar,  
5    2007; Bernstein, Auer, & Takayanagi, 2004; Macleod & Summerfield, 1987; Sumby & Pollack,  
6    1954). A fundamental issue addressed here concerns the kind of representations and processes  
7    that underly efficient visual speech perception and interpretation.

8

9    In the last 20 years, a series of studies have reported that participants asked to silently lipread  
10   recruit not only parts of their visual system but also the inferior frontal gyrus and the premotor  
11   cortex typically involved during the execution of the same facial movements (Callan et al.,  
12   2003; Calvert & Campbell, 2003; Okada & Hickok, 2009; Sato, Buccino, Gentilucci &  
13   Cattaneo, 2010; Skipper, Nusbaum, & Small, 2005; Watkins, Strafella & Paus, 2003). This  
14   finding has raised the possibility that the interpretation of visual speech may be supported, at  
15   least to some extent, by a covert unconscious imitation of the observed speech movements in  
16   the observer's motor system – a motor simulation of the observed speech gestures (Barnaud et  
17   al., 2018; Callan et al., 2003; Callan et al., 2004; Chu et al., 2013; Skipper, Goldin-Meadows,  
18   Nusbaum & Small, 2007; Skipper, Van Wassenhove, Nusbaum & Small, 2007; Tye-Murray,  
19   Spehar, Myerson, Hale & Sommers, 2013).

20

21   However, whether, and if so to what extent, motor simulation contributes to visual speech  
22   interpretation remains unclear. Indeed, the activation of the motor system observed during  
23   lipreading could be a consequence, rather than a cause, of the identification of the visual  
24   syllables. In line with this possibility, previous studies reported that lipreading abilities precede  
25   speech production abilities during development. For instance, two- to five-month-old infants  
26   who have not yet mastered articulated speech look longer at a face executing articulatory  
27   gestures matching a simultaneously presented sound than at a face that does not (Kuhl &  
28   Meltzoff, 1982; Patterson & Werker, 1999; Patterson & Werker, 2003). These studies  
29   demonstrate that it is possible to develop at least some level of lipreading capability without  
30   motor simulation. Nonetheless, they leave open a large space for a possible contribution of  
31   motor simulation to visual speech interpretation. For instance, it could be that motor simulation  
32   improves lipreading without being necessary.

33

34   The research reported here was designed to explore this possibility. We compared word-level  
35   lipreading abilities (in Experiment 1) and the strength of the influence of visual speech on the  
36   interpretation of auditory speech information (in Experiment 2) in typically developed  
37   participants and in eleven individuals born with congenitally reduced or completely absent lip  
38   movements in the context of the Moebius syndrome (individuals with the Moebius syndrome,  
39   IMS, see table 1) – an extremely rare congenital disorder characterized, among other things,  
40   by a non-progressive facial paralysis caused by an altered development of the facial (VII)  
41   cranial nerve (Verzijl, Van der Zwaag, Cruysberg & Padberg, 2003).

42

43   Three main arguments support the assumption that a congenital lip paralysis prevents

44 simulating observed lip movements to help lip-reading. First, extant evidence suggests that the  
45 motor cortex does not contain representations of congenitally absent or deafferented limbs (e.g.,  
46 Reilly & Sirigu, 2011). Rather, the specific parts of the somatosensory and motor cortices that  
47 would normally represent the “absent” or deafferented limbs are allocated to the representation  
48 of adjacent body parts (Funk et al., 2008; Kaas, 2000; Kaas, Merzenich & Killackey, 1983,  
49 1983; Makin, Scholtz, Henderson Slater, Johansen-Berg, & Tracey, 2015; Stoeckel, Seitz, &  
50 Buetefisch, 2009; Striem-Amit, Vannuscorps & Caramazza, 2017). Beyond this evidence, in  
51 any event, it is unclear how a motor representation of lip movement could be formed in  
52 individuals who have never had the ability to execute any lip movement, and we are not aware  
53 of any attempt at describing how such a mechanism would operate. Furthermore, it is unclear  
54 in what sense such a representation would be a “motor representation”. Second, merely  
55 “having” lip motor representations would not be sufficient to simulate an observed lip  
56 movement. Motor simulation is not based merely on motor representations of body parts (e.g.,  
57 of the lips) but on representations of the movements previously executed with these body parts.  
58 Hence, previous motor experience with observed body movements is critical for motor  
59 simulation to occur (e.g., Calvo-Merino, Grezès, Glaser, Passingham & Haggard, 2006;  
60 Swaminathan et al., 2013; Turella, Wurm, Tucciarelli & Lingnau, 2013) and lipreading  
61 efficiency is assumed to depend on the similarity between the observed lip movements and  
62 those used by the viewer (Tye-Murray, Spehar, Myerson, Hale & Sommers, 2013; 2015). Since  
63 the IMS have never executed lip movements, it is unclear how they could motorically simulate  
64 observed lip movements, such as a movement of the lower lip to contact the upper teeth rapidly  
65 followed by an anteriorization, opening and rounding of the two lips involved in the articulation  
66 of the syllable (/fa/). Third, in any event, a motor simulation of observed lip movements by the  
67 IMS would not be sufficient to support the IMS’s lip reading abilities according to motor  
68 simulation theories. According to these theories, motor simulation of observed body  
69 movements is necessary but not sufficient to support lipreading. The role of motor simulation  
70 derives from the fact that it is supposed to help retrieving information about the observed  
71 movements acquired through previous motor experience. Motor simulation, for instance,  
72 supports lipreading because simulating a given observed lip movement allows the observer to  
73 “retrieve” what sound or syllable these movements allow him/her to produce when s/he carries  
74 out that particular motor program. Since the IMS have never themselves generated the lip  
75 movements probed in this study, motor simulation could not be regarded as a possible support  
76 to lipreading.

77  
78 In light of these considerations, the investigation of the lip reading abilities of IMS provides  
79 the opportunity to constrain hypotheses about the functional role of motor simulation in visual  
80 speech perception: if motor simulation contributes to lipreading, then, individuals deprived of  
81 lip motor representations should not be as efficient as controls at lip reading. We tested this  
82 prediction in two experiments assessing participants’ lipreading ability explicitly (Experiment  
83 1) and implicitly (Experiment 2). This allowed testing for the possibility that some IMS could  
84 perform at a good level in an explicit task (Experiment 1) by mobilizing additional cognitive  
85 resources. If this were the case, then, these individuals’ lip-reading abilities should be hampered  
86 in an implicit task (Experiment 2), in which lip reading is not instructed, not encouraged, and  
87 in fact worsens performance.

88  
89 It is important to note, however, that Moebius syndrome typically impacts not only the  
90 individuals' sensorimotor system, but also their visual, perceptual, cognitive, and social  
91 abilities to various extents (Bate et al., 2013 ; Carta, Mora, Neri, Favilla, & Sadun, 2011;  
92 Vannuscorps, Andres & Caramazza, 2020). This has significant interpretative and  
93 methodological implications for the current study. Given the complexity of the disorder, it is  
94 not unexpected that at least some IMS would show relatively poor lipreading performance.  
95 Determining the cause of the lipreading deficit in these individuals is not a straightforward  
96 matter: candidate causes include not only their production disorder but other impaired but  
97 functionally separate processes, such as visuo-perceptual processing, which co-occur to  
98 varying degrees in these individuals. This situation creates an asymmetry in the evidentiary  
99 value of good versus poor lipreading performance: normotypical performance on these tasks  
100 indicates that motor simulation is not necessary for lipreading, whereas poor performance is  
101 indeterminate on the role of motor simulation in lipreading. This interpretative asymmetry  
102 implies that the appropriate methodology in this study is the use of single-case analyses, since  
103 this approach allows us to determine unambiguously whether the inability to carry out the  
104 relevant motor simulation necessarily adversely affects lipreading performance.

105

## 106 **Methods**

107 The experimental investigations were carried out from October 2015 to September 2019 in  
108 sessions lasting between 60 and 90 minutes. The study was approved by the biomedical ethics  
109 committee of the Cliniques Universitaires Saint-Luc, Brussels, Belgium, was performed in  
110 accordance with relevant guidelines/regulations and all participants gave written informed  
111 consent prior to the study and the research.

112

113 The experiments were controlled by the online testable.org interface (<http://www.testable.org>),  
114 which allows precise spatiotemporal control of online experiments. Control participants were  
115 tested on the 15.6-inch anti-glare screen (set at 1366 x 768 pixels and 60Hz) of a Dell Latitude  
116 E5530 laptop operated by Windows 10. The individuals with the Moebius Syndrome (IMS)  
117 were tested remotely on their own computer under supervision of the experimenter through a  
118 visual conference system. At the beginning of each experiment, the participant was instructed  
119 to set the browsing window of the computer to full screen, minimize possible distractions (e.g.,  
120 TV, phone, etc.) and position themselves at arm's length from the monitor for the duration of  
121 the experiment. Next, a calibration procedure ascertaining homogeneous presentation size and  
122 time on all computer screens took place. Next, participants started the experiment.

123

## 124 **Participants**

125 Eleven individuals with congenitally reduced or completely absent lip movements in the  
126 context of the Moebius Syndrome (individuals with the Moebius Syndrome, IMS, see table 1  
127 and Vannuscorps, Andres & Caramazza, 2020) and 25 typically developed highly educated  
128 young adults (15 females; 3 left-handed; all college students or graduates; Mean age  $\pm$  SD:  
129  $28.6 \pm 6.5$  years) participated in Study 1. Eight of the IMS (IMS1, 3, 4, 5, 8, 9, 10, 11) and 20

130 new typically developed highly educated young adults (13 females; 2 left-handed; all college  
 131 students or graduates without any history of psychiatric or neurological disorder; Mean age ±  
 132 SD:  $22.7 \pm 2.3$  years) participated in Study 2. None of the participant reported any hearing loss.  
 133 Self-report about the presence/absence of hearing loss has been shown to have a negative  
 134 predictive value of 82% for mild hearing loss, 98% for moderate and 100% for marked hearing  
 135 loss (Sindhusake et al., 2001).

136

137 The participants with the Moebius Syndrome included in this study presented with congenital  
 138 bilateral facial paralyses of different degrees of severity. As indicated in Table 1, lip movements  
 139 were completely absent in IMS 1, 7, 10 and 11, very severely reduced in IMS 2, 3 and 4, and  
 140 severely reduced in IMS 5, 6, 8 and 9. IMS 2 could only very slightly pull her lower lip  
 141 downward. IMS 3 could very slightly pull the corners of his mouth down. This movement was  
 142 systematically accompanied by a slight increase of mouth opening and a wrinkling of the  
 143 surface of the skin of the neck, suggesting a slight contraction of the platysma muscle. IMS 4  
 144 could slightly contract her cheeks (buccinators), resulting in a slight upward and stretching of  
 145 the lips. In all these participants, there was no mouth closing, no movement of the superior lip  
 146 and none of these individuals were able to move their lips in a position corresponding to bilabial  
 147 (/p/, /b/, /m/) or labiodental (/f/, /v/) French consonants or to rounded (/ɔ/, /ã/, /œ/, /o/, /y/, /ø/,  
 148 /ʒ/) and stretched (/i/, /e/) French vowels. IMS 5, 6, 8 and 9 were able to open and close the  
 149 mouth. IMS 5 could also slightly pull the angles of the mouth backwards by contracting the  
 150 cheeks, slightly pull her lower lip downwards and very slightly contract the right side of her  
 151 upper lip. IMS 6 could also pull the angles of the mouth backwards by contracting the cheeks.  
 152 IMS 8 was able to execute a mild combined backward/upward movement of the right angle of  
 153 the mouth and a slight backward movement of the left angle of the mouth. IMS 9 could normally  
 154 pull the right angles of the mouth backwards by contracting the right cheek and slightly pull  
 155 the left angles of the mouth backwards by contracting the left cheek. IMS 5, 6, 8 and 9 were  
 156 thus able to move their lips in a position corresponding to bilabial French consonants and to  
 157 stretched French vowels but not in a position corresponding to labiodental consonants and  
 158 rounded vowels.

159

160 It is important to note that in addition to these motor symptoms, the individuals with the  
 161 Moebius syndrome typically also present with a heterogeneous spectrum of visuo-perceptual  
 162 disorders, including various patterns of ocular motility alterations, various degrees of visual  
 163 acuity impairments, of lagophthalmos, an absence of stereopsis, and frequent mid and high  
 164 visual perception problems (Verzijl, Van der Zwaag, Cruysberg & Padberg, 2003 ; Bate, Cook,  
 165 Mole & Cole, 2013 ; Calder, Keane, Cole, Campbell & Young, 2000). The performance of the  
 166 IMS participants included in this study in a mid-level perception screening test ranged from  
 167 typical to severely impaired (see Table 1), for example.

168

169 Table 1. Demographic and clinical data of the individuals with the Moebius Syndrome

Sex	Age (years)	Education (years)	Mid-level perception <sup>1</sup>	Inferior lip	Superior lip
IMS1	F	37	4	0.9	No movements
IMS2	F	36	3	-3.3	Slight movements

<b>IMS3</b>	M	19	2	-0.3	Slight movements	No movements
<b>IMS4</b>	F	43	1	-2.9	Slight movements	No movements
<b>IMS5</b>	F	31	2	-0.3	Slight movements	Slight movements
<b>IMS6</b>	F	19	0	0.1	Mild movements	No movements
<b>IMS7</b>	M	31	2	0.5	No movements	No movements
<b>IMS8</b>	F	21	3	0.5	Slight movements	No movements
<b>IMS9</b>	F	15	0	-3.3	Mild movements	No movements
<b>IMS10</b>	F	20	0	-0.3	No movements	No movements
<b>IMS11</b>	M	33	3	-4.2	No movements	No movements

<sup>1</sup> Participants' modified *t*-test (Crawford & Howell, 1998) at the Leuven Perceptual Organization Screening Test, L-POST (Torfs, Vancleef, Lafosse, Wagemans & de Wit, 2014).

## Stimuli and procedure

### Experiment 1: viseme discrimination task

This task assessed participants' ability to use visual speech to discriminate vowels differing in terms of lip aperture and/or shape and consonants differing in place of articulation. Stimuli were 60 silent video-clips (~3 seconds, 30 frames/second, 854 x 480 pixels) showing one of two actresses articulating a word in French (see list in appendix). Only the face of the actress (approximately 6 x 10 degrees of visual angle) was visible. Each video started with the actress in a neutral posture, followed by the articulation and ended by a return in the neutral posture. Each video was associated with a target word and either 2 (for consonants, N = 37) or 3 (for vowels, N = 23) distractors. For 23 of the video-clips, 3 distractors differing from the target word in terms of a single vowel articulated with a different shape and/or aperture of the mouth were selected. For instance, the words "plan" (/plã/, i.e., an open, rounded vowel), "plot" (/plo/, i.e., a mid-open, rounded vowel) and "pli" (/pli/, i.e., a closed, non-rounded vowel) were used as response alternatives for the target word "plat" (/pla/, i.e., an open, non-rounded vowel). For the remaining 37 video-clips, 2 distractors differing from the target word in terms of the place of articulation of a single consonant were selected. For instance, the words "fente" (/fãt/, i.e., a labiodental consonant) and "chte" (/ʃãt/, i.e., a postalveolar consonant) were selected as response alternatives for the target word "menthe" (/mãt/, i.e., a bilabial consonant).

Each of the 60 trials started with the presentation of three or four words on the computer screen for 5 sec, followed by the presentation of a video-clip of an actress articulating one of the words lasting ± 3 seconds and three or four response buttons. Participants were asked to read the words carefully, observe the video carefully and, then, to identify the phoneme articulated by the actress by clicking on the corresponding word. There was no time constraint for responding but participants were asked not to respond before the end of the video clip. This design allowed testing visual speech recognition in a task that minimizes the influence of several cognitive abilities—including working memory for the observed lip movements and vocabulary size—that are likely to differ between the two groups.

### Experiment 2: audiovisual integration

204 Stimuli were 32 video-clips (1.5 seconds, 50 frames/second, 960 x 544 pixels) showing one of  
205 four actors (two males, two females) articulating twice in a row one of six syllables paired with  
206 a congruent audio (« pa », « ta », « ka », « ba », « da » and « ga ») or one of two syllables  
207 paired with an incongruent audio (visual « ga » and « ka » paired with the audio /ba/ and /pa/,  
208 respectively) and 8 similar video-clips in which a small pink dot appeared at a random place  
209 on the face of the actor (one by condition, 2 by actor). Only the shoulders and face of the  
210 actors/actresses was visible. The face subtended approximately 4 x 6 degrees of visual angle.  
211 Each video started with the actor in a neutral posture, followed by the articulation and ended  
212 by a return in the neutral posture. The actors maintained an even intonation, tempo, and vocal  
213 intensity while producing the syllables.

214

215 During the experiment, participants were first presented with an auditory-only stimulus and  
216 asked to set the volume of their computer at a clearly audible, comfortable level. Then, they  
217 received the following instruction (translated from French): “In this experiment, we will test  
218 your ability to do two tasks simultaneously. You will see video-clips of actors articulating twice  
219 the same syllable. On some of these video-clips, a small pink dot will appear somewhere on  
220 the actor’s face. After each video, we ask you to click on the response button “pink dot” if you  
221 have seen a small pink dot. If no small pink dot has appeared, then simply report the syllable  
222 that you heard by clicking on the corresponding syllable on the computer screen.” After the  
223 instructions, participants saw, in a pseudo-random order, a series of 128 video-clips comprising  
224 3 repetitions of each actor articulating twice the same syllable paired with a congruent audio  
225 (3 repetition x 4 actors x 6 congruent stimuli = 72 video-clips), 6 repetitions of each actor  
226 articulating twice the same syllable paired with an incongruent audio (6 repetition x 4 actors x  
227 2 congruent stimuli = 48 video-clips) and 2 videos of each actor articulating twice the same  
228 syllable paired with a congruent audio in which a small pink dot appeared on the actors’ face.  
229 After each video-clip the participant was asked to indicate if s/he had seen a pink dot and, if  
230 not, to click on the syllable articulated by the actor presented among 6 alternatives (“pa”, “ta”,  
231 “ka”, “ba”, “da”, “ga”). There was no time constraint for responding. The dual task design  
232 allowed us to instruct participants to pay attention to the visual stimulus during the task and to  
233 identify those who did not follow this instruction.

234

### 235 **Analysis and results**

236 The data that support the findings of this study are openly available (Vannuscorps, 2020). In  
237 Experiment 1, we counted the number of correct responses of each participant (see Figure  
238 1A), excluded one control participant with a score below two standard deviations from the  
239 mean of the controls (see on Figure 1) and, then, conducted four series of analyses. We first  
240 conducted a Shapiro-Wilk test to verify that the controls’ data were distributed normally. This  
241 was the case ( $W = 0.93, p > 0.05$ ). In a second step, we turned to our main question and  
242 investigated whether the IMS performed at a typical level of efficiency in the experiment. We  
243 used Crawford and Garthwaite’s (2007) Bayesian approach to compare the performance of  
244 each IMS to that of the control group. To minimize the likelihood of false negatives, that is,  
245 the risk of concluding erroneously that an IMS achieves a “normotypical level” of efficiency,  
246 we set the threshold for “efficient” performance at 0.85 standard deviation below the control

mean (as in Vannuscorps, Andres & Caramazza, 2020). Unsurprisingly given the visuo-perceptual symptoms commonly associated with Moebius Syndrome, four IMS (2, 3, 9, 11) performed below this threshold. Nevertheless, and more interestingly, the one-sided lower 95% credible limit of the performance of five other IMS was consistently (i.e., when all the items, the consonants and the vowels were considered) above the threshold for efficient performance despite their severely reduced (IMS 5, 8), very severely reduced (IMS 4) or even completely absent (IMS 1, 10) lip motor representations. In addition, IMS 6 performed above the threshold for efficient performance when all the items and when the vowels were considered and only slightly below when the consonants were considered (95% one-sided lower credible limit = -1.48) despite severely reduced lip motor representations; and, IMS7 performed above the threshold for efficient performance when all the items and the consonants were considered and only slightly below when the vowels were considered (95% one-sided lower credible limit = -1.47) despite completely absent lip motor representations.

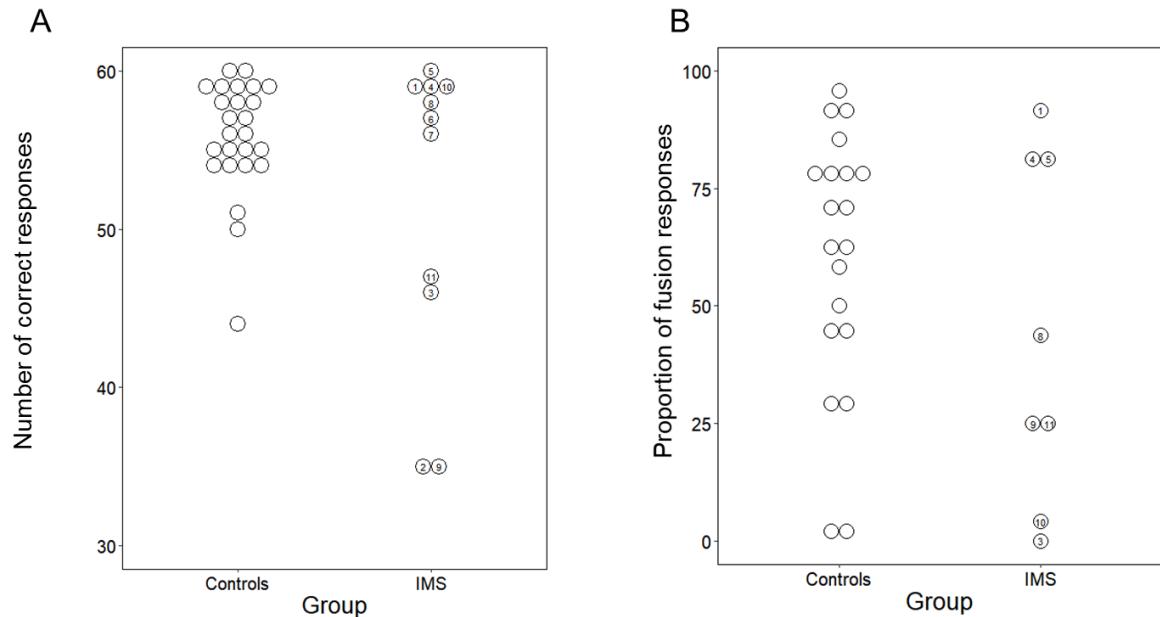
Third, we focused on the seven IMS with normotypical performance (on the whole set of items) and assessed whether any discrepancy between their performance and that of the controls was larger on lip reading than in three control tasks used with the same participants in a previous study (Vannuscorps, Andres & Caramazza, 2020): the Cambridge Face Memory Test (CFMT; Duchaine & Nakayama, 2006) and the Cambridge Face Perception Test (CFPT; Duchaine, Germine, & Nakayama, 2007), which assess participants' face perception abilities, and the Leuven Perceptual Organization Screening Test (L-POST), an online test to assess mid-level visual perception (Torf, Vancleef, Lafosse, Wagemans & de-Wit, 2014). The aim of these analyses was to seek evidence that despite their normotypical performance on lipreading, these seven IMS may nevertheless be comparatively less good in lip reading than in other tasks not assumed to rely on motor simulation. To this end, we computed Crawford and Garthwaite's (2007) Bayesian Standardized Difference Test (BSDT). The BSDT allows computing an estimate of the percentage of the control population exhibiting a more extreme discrepancy between two tasks than a given individual. We performed 3 BSDTs for each of the seven IMS (comparison of the lip-reading task with the three control tasks). All the comparisons were either clearly not significant (6/21 comparisons, all BSDTs > 0.5) or indicated a comparatively better performance in the lipreading experiment than in the control tasks (15/21 comparisons). Thus, there was no evidence that these 7 IMS performed the lipreading task less accurately than facial identity recognition or mid-level perceptual tasks.

Fourth, we conducted qualitative analyses of the performance of these seven IMS. The aim of these analyses was to investigate the possibility that the IMS who achieve efficient lipreading could nevertheless do so by completing the task somewhat differently than the control participants; for instance, that they used different diagnostic features to discriminate the different visemes. Any such processing differences would likely result in different patterns of behavioral responses to different items and, in particular, in different patterns of errors. To explore this possibility, we first carried out correlation analyses over the percentage of correct responses in both groups for each item. The correlation was highly significant ( $r(56) = 0.67, p < 0.001$ ). A second analysis focused on the nature of the errors. To this end, we first computed the two groups' response matrices for the consonants (3 x 3 matrix combination of bilabial,

291 labiodental or post-alveolar) and vowels (7x7 matrix combination of open non rounded and  
292 closed, mid-closed and mid-open rounded and non-rounded vowels). Then, to examine the  
293 similarity between the controls' and the IMS's matrices, we vectorized the matrices and  
294 correlated them with each other. The resulting coefficients indicated a statistically significant  
295 correlation between the two groups' matrices, both when all the responses were considered  
296 (consonants:  $r(9) = 0.99$ ; vowels (49) = 0.99; all  $p < 0.001$ ) and when only errors were  
297 considered (consonants:  $r(6) = 0.75, p < 0.05$ ; vowels:  $r(42) = 0.33$ ; both  $p < 0.05$ ). These  
298 analyses suggest that lip-reading is not only similar in efficiency between both groups, but  
299 also qualitatively similar.

300  
301 Experiment 2 tested whether some IMS would show clear signs of efficient lipreading in an  
302 implicit task. In this task, inefficient lip reading would result in the absence or weaker influence  
303 of visual on auditory speech, indexed by a small proportion of trials in which incongruent  
304 pairings between visual velar and auditory bilabial consonants produced the percept of  
305 intermediate dental consonants (/da/ and /ta/), i.e., “fusion responses” (McDonald & McGurk,  
306 1978; McGurk & McDonald, 1976). Before testing whether this was the case, we first checked  
307 that all participants performed the task while looking at the visual stimuli by counting each  
308 participants' number of correct identifications of the “pink dot”. This was the case: no  
309 participant missed more than one pink dot in eight trials. Then, we checked participants'  
310 performance in the congruent condition to ensure that auditory perception in congruent trials  
311 was intact. Individuals from both groups performed similarly highly (Controls' mean and sd =  
312  $95.8 \pm 3.1\%$ ; IMS' mean and sd =  $97 \pm 3.5\%$ ). Then, we turned to our main analysis. We  
313 computed the proportion of fusion responses of each participant (Figure 1B) as an index of the  
314 contribution of the visual signal on the perception of the auditory syllables and used Crawford  
315 and Garthwaite's (2007) Bayesian approach to compare the percentage of fusion responses of  
316 each IMS to that of the control group. We set the threshold for typically strong influence of  
317 visual upon auditory speech perception at 0.85 standard deviation below the control mean  
318 (Vannuscorps, Andres & Caramazza, 2020). The one-sided lower 95% credible limit of the  
319 percentage of fusion responses of four IMS was above that threshold despite their (very)  
320 severely reduced (IMS 4, 5, 8) or even completely absent (IMS 1) lip motor representations.  
321 Despite completely absent lip motor representations, for instance, IMS1 had 92% of fusion  
322 responses, a proportion that was larger than all but one of the control participants.

323  
324



325

326 Figure 1. Results of Experiment 1 (A) and 2 (B) by group and by individual participant. The  
 327 numbers refer to the IMS reported in table 1. The proportion of fusion responses reported in  
 328 panel B corresponds to the percentage of trials in which incongruent pairings between visual  
 329 velar and auditory bilabial consonants ( $N=48$ ) produced the percept of intermediate dental  
 330 consonants (/da/ and /ta/).

331

332

### 333 Discussion

334 To constrain the space of hypothesis for a role of motor simulation in lipreading, we compared  
 335 word-level lipreading abilities (Experiment 1) and the strength of the influence of visual speech  
 336 on the interpretation of auditory speech information (Experiment 2) in typically developed  
 337 participants and in eleven individuals born with congenitally reduced or completely absent lip  
 338 movements, who cannot covertly imitate observed lip movements. As expected, in both  
 339 experiments some IMS performed significantly below the control participants. Such  
 340 association of deficits is interesting but is difficult to interpret because the co-occurrence of  
 341 motor, visual and perceptual deficits in the IMS we tested makes it difficult to establish  
 342 unambiguously the (possibly multiple) origins of these difficulties. However, what is important  
 343 for the conclusion of this study is that these individuals' marked difficulties in lipreading cannot  
 344 be explained by their motor disorder since, as reported here, other individuals with an equal or  
 345 even more severe motor disorder achieved a normal level of performance in these two tasks. In  
 346 contrast, the finding that three of the four IMS participants who performed significantly less  
 347 accurately than the controls in Experiment 1 also performed significantly below control  
 348 participants in a visual perceptual screening test (see Table 1), suggests that their difficulties  
 349 are likely the consequence of a visuo-perceptual deficit. More interestingly, several other IMS  
 350 were at least as good as the controls. In Experiment 1, the one-sided lower 95% credible limit  
 351 of the performance of seven of the eleven IMS was above 0.85 standard deviation below the  
 352 control mean and these individuals performed these tasks as well as other visual tasks, and in  
 353 a way that is qualitatively similar to the controls. In Experiment 2, three IMS showed an

354 influence of visual upon auditory speech perception that was stronger than that of 80% of the  
355 control participants. This demonstrates that motor simulation is not necessary to achieve  
356 typically efficient lipreading abilities in these experiments. This conclusion is compatible with  
357 two possible hypotheses regarding the role of motor simulation in these experiments: (1) motor  
358 simulation does not contribute to lipreading in these tasks *at all* or (2) motor simulation  
359 contributes so marginally to lipreading in these tasks that we simply failed to detect this small  
360 effect. Nevertheless, there are two main reasons to prefer the first conclusion. First, the finding  
361 that these IMS were as good, and often even better, in lipreading as in other visual tasks makes  
362 the second interpretation unlikely. Second, and more importantly, there seems to be currently  
363 no reason to favor the second and less parsimonious interpretation.

364

365 Of course, it is possible that motor simulation may aid the processing of observed lip  
366 movements in some other conditions or circumstances, for instance in tasks that involve both  
367 perceptual processing and other task-specific cognitive processes such as working memory.  
368 Indeed, there is evidence that efficient visual working memory for body movements and  
369 postures is supported partly by motor simulation (Galvez-Pol, Forster & Calvo-Merino, 2018;  
370 Gao, Bentin & Shen, 2015; Moreau, 2013; Vannuscorps & Caramazza, 2016c). There is also  
371 evidence that interpreting stimuli under degraded conditions may be supported by working  
372 memory maintenance of the raw signal (e.g., Mattys, Davis, Bradlow & Scott, 2012). Thus, a  
373 possibility is that motor simulation could play a role in lip reading under visually degraded  
374 conditions by contributing to the maintenance of the observed lip movements and thereby  
375 extending the processing time window available to interpret them. In line with this possibility,  
376 although previous studies have shown that motor simulation is not necessary to perceive and  
377 interpret body postures and movements per se (Negri et al., 2007; Vannuscorps, Dricot &  
378 Pillon, 2016; Vannuscorps & Caramazza, 2016b), other studies have reported results suggesting  
379 that motor simulation may contribute to the ability to interpret actions perceived under adverse  
380 perceptual conditions (Arrighi, Cartocci & Burr, 2011; Serino et al., 2010; van Kemenade,  
381 Muggleton, Walsh & Saygin, 2012). We have previously reported, for instance, that although  
382 an individual born without upper limbs had no difficulty to perceive and interpret body  
383 movements as fast and accurately as control participants, he was nevertheless selectively  
384 impaired in recognizing upper-limb (but not lower-limb) actions presented as point-light-  
385 displays (Vannuscorps, Andres & Pillon, 2013).

386

387 Although our findings leave open these possibilities, they nevertheless considerably narrow  
388 down the hypothesis space for a role of motor simulation in lipreading. Previous studies had  
389 demonstrated that it is possible to develop some level of lipreading capability without motor  
390 simulation (Kuhl & Meltzoff, 1982; Patterson & Werker, 1999; Patterson & Werker, 2003). Our  
391 findings additionally demonstrate that it is possible to reach typical lipreading efficiency  
392 without motor simulation, at least in tasks such as those used in this study. As such, our findings  
393 support the hypothesis that lip reading is a property of the visuo-perceptual system unaided by  
394 the motor system (Bernstein & Liebenthal, 2014; Matchin, Groulx & Hickok, 2014). According  
395 to this view, lipreading requires a visuo-perceptual analysis of the actor's configural and  
396 dynamic facial features to provide access to stored visual descriptions of the facial postures  
397 and movements corresponding to different linguistic units. Once this stored visual

398 representation is accessed, it may be integrated with auditory information in multisensory  
399 integration sites to support audiovisual speech comprehension (Beauchamp, Argall, Borduka,  
400 Duyn & Martin, 2004).

401 Admittedly, it is possible that lipreading in the IMS relies on atypical mechanisms and,  
402 therefore, it is an open question whether our conclusion generalizes to typically developed  
403 participants. Future studies are needed to elucidate this question with the help of  
404 neuropsychological studies of patients suffering from brain damage that affects their ability to  
405 imitate lip movements covertly. Nevertheless, there seems to be currently no compelling  
406 empirical reason to favor the less parsimonious motor simulation hypothesis. Hence, our  
407 findings at the very least emphasize the need for a shift in the burden of proof relative to the  
408 question of the role of motor simulation in lipreading. This conclusion converges with that of  
409 previous reports of IMS participants who achieved normal levels of performance in facial  
410 expression recognition despite their congenital facial paralysis (Bate et al., 2013; Calder et al.,  
411 2000; Bogart & Matsumoto, 2010; Vannuscorps et al., 2020) and of individuals congenitally  
412 deprived of hand motor representations who nonetheless perceived and comprehended hand  
413 actions as efficiently and with the same biases and brain networks as typically developed  
414 participants (Vannuscorps, Pillon & Andres, 2012; Vannuscorps, Andres & Pillon, 2013;  
415 Vannuscorps & Caramazza, 2015, 2016a, b, 2017, 2019; Vannuscorps, Wurm, Striem-Amit &  
416 Caramazza, 2019). Together, these results challenge the hypothesis that body movement  
417 perception and comprehension rely on motor simulation (Rizzolatti & Sinigaglia, 2010).  
419

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## Appendix 1

Orthographic and phonetic transcription of the target words articulated by the actresses in Experiment 1 and the associated distractor stimuli.

Target		D1		D2		D3
plat	/pla/	plan	/plã/,	plot	/plo/	pli
fin	/fɛ/	faon	/fã/	feu	/fø/	fée
fin	/fɛ/	faon	/fã/	feu	/fø/	fée
sel	/sɛl/	sol	/sɔl/	saoul	/sul/	cil
basse	/bas/	bosse	/bɔs/	bus	/bys/	bis
jet	/ʒɛ/	gens	/ʒã/	jeu	/ʒø/	gît
mâle	/mal/	molle	/mɔl/	meule	/møl/	mille
vin	/vɛ/	vent	/vã/	vue	/vy/	vie
basse	/bas/	bosse	/bɔs/	bus	/bys/	bis
basse	/bas/	beurre	/bəʁ/	bon	/bõ/	bée
va	/va/	vent	/vã/	vœu	/vø/	vie
math	/mat/	motte	/mɔt/	monte	/mõt/	mite
mer	/mɛr/	meurt	/mər/	mur	/myr/	mire
cet	/sɛt/	sotte	/sɔt/	soute	/sut/	site
laid	/lɛ/	lent	/lã/	lu	/ly/	lit
chat	/ʃa /	chant	/ʃã/	chaud	/ʃo/	chez
jet	/ʒɛ/	gens	/ʒã/	jeu	/ʒø/	gît
tas	/ta/	tôt	/tɔ /	thé	/te/	temps
matin	/matɛ/	matant	/matã/	matheux	/matø/	maté
va	/va/	vent	/vã/	vœu	/vø/	vie
fin	/fɛ /	faon	/fã/	fou	/fu/	fée
laid	/lɛ/	lent	/lã/	lu	/ly/	lit
chat	/ʃa /	chant	/ʃã/	chaud	/ʃo/	chez
femme	/fam/	fève	/fɛv/	fache	/faʃ/	
main	/mɛ/	fa	/fa/	chat	/ʃa/	
pile	/pil/	fil	/fil/	gilles	/ʒil/	
menthe	/mãt/	fente	/fãt/	chante	/ʃãt/	
peu	/pø/	vœu	/vø/	jeu	/ʒø/	
bée	/be/	fée	/fe/	chez	/ʃe/	
mot	/mɔ/	veau	/vo/	chaud	/ʃo/	
bock	/bɔk/	phoque	/fɔk/	choc	/ʃɔk/	
loupe	/lyp/	louve	/lyv/	louche	/lyʃ/	
manger	/mãʒe /	venger	/vãʒe/	changer	/ʃãʒe/	
habit	/abi/	avis	/avi/	hachis	/aʃi/	
percer	/pɛrse/	verser	/vɛrse/	gercer	/ʒɛrse/	
menu	/məny/	venu	/vəny/	chenu	/ʃəny/	
amant	/amã/	avant	/avã/	agent	/aʒã/	
ballon	/balõ/	vallon	/valõ/	jalon	/ʒalõ/	
laper	/laþe/	laver	/lave/	lâcher	/laʃe/	
peiner	/pene/	veiner	/vəne/	gêner	/ʒene/	
palet	/palɛ/	valet	/valɛ/	chalet	/ʃalɛ/	
bain	/bɛ/	fin	/fɛ/	chat	/ʃa/	
pie	/mi/	vie	/vi/	j'ai	/ʒe/	
pou	/pu/	vous	/vu/	chou	/ʃu/	

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mie	/mi/	vie	/vi /	j'ai	/ʒe/
pou	/pu/	vous	/vu/	chou	/ju/
pente	/pãt/	fente	/fãt/	jante	/ʒãt/
pou	/pu/	fou	/fu/	chou	/ju/
pain	/pẽ /	vin	/vẽ/	chat	/ʃa/
beau	/bo/	faux	/vo/	chaud	/ʃo/
banc	/bã/	vent	/vã/	chant	/ʃã/
mauve	/mov/	fauve	/fov/	chauve	/ʃov/
banc	/bã/	vent	/vã/	chant	/ʃã /
pain	/pẽ /	vin	/vẽ/	chat	/ʃa/
banc	/bã/	vent	/vã/	chant	/ʃã /
bu	/by/	vu	/vy/	jus	/ʒy/
mou	/mu/	vous	/vu/	chou	/ju/
peu	/pø/	voeu	/vø/	jeu	/ʒø/
banc	/bã/	vent	/vã/	chant	/ʃã /
fusain	/fyzẽ/	fusant	/fyzã/	fusil	/fyzi/

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